# Radiometry and Photometry for Autonomous Vehicles and Machines - Fundamental Performance Limits 

Robin Jenkin and Cheng Zhao; NVIDIA Corporation; Santa Clara, California, USA


#### Abstract

As autonomous vehicles and machines, such as self-driving cars, agricultural drones and industrial robots, become ubiquitous, there is an increasing need to understand the objective performance of cameras to support these functions. Images go beyond aesthetic and subjective roles as they assume increasing aspects of control, safety, and diagnostic capabilities. Radiometry and photometry are fundamental to describing the behavior of light and modeling the signal chain for imaging systems, and as such, are crucial for establishing objective behavior.


As an engineer or scientist, having an intuitive feel for the magnitude of units and the physical behavior of components or systems in any field improves development capabilities and guards against rudimentary errors. Back-of-the-envelope estimations provide comparisons against which detailed calculations may be tested and will urge a developer to "try again" if the order of magnitude is off for example. They also provide a quick check for the feasibility of ideas, a "giggle" or "straight-face" test as it is sometimes known.

This paper is a response to the observation of the authors that, amongst participants that are newly relying on the imaging field and existing image scientists alike, there is a general deficit of intuition around the units and order of magnitude of signals in typical cameras for autonomous vehicles and the conditions within which they operate. Further, there persists a number of misconceptions regarding general radiometric and photometric behavior. Confusion between the inverse square law as applied to illumination and consistency of image luminance versus distance is a common example.

The authors detail radiometric and photometric model for an imaging system, using it to clarify vocabulary, units and behaviors. The model is then used to estimate the number of quanta expected in pixels for typical imaging systems for each of the patches of a MacBeth color checker under a wide variety of illumination conditions. These results form the basis to establish the fundamental limits of performance for passive camera systems based both solely on camera geometry and additionally considering typical quantum efficiencies available presently. Further a mental model is given which will quickly allow user to estimate numbers of photoelectrons in pixel.

## Introduction

For imaging scientists and camera engineers, anyone working with images as an input to a neural network or computer vision algorithm, or producing simulations with which to train these algorithms, light and its subsequent detection, fundamentally bound the space of engineering solutions to which we have access to. It dictates how many photons can illuminate a surface from a source and consequently the upper bound on the number that could be captured by an imaging system. In combination with imaging
system parameters, it absolutely dictates the signal-to-noise ratio an image may have. And while we may apply image processing to improve the appearance of images, it is not possible to add information after the capture stage.

Given how fundamental the behavior of light and cameras are to the profession, there appears to be a number of areas of confusion between the behavior of light and imaging coupled with a general fear of radiometry and photometry. As examples, the authors have heard the comment that "[Images of] lights get darker the further from a camera they are." as a misinterpretation of the inverse square law. Also measuring "lux at the camera" rather than at the target being imaged. Finally, an observation that there is a general lack of intuition for the magnitudes of signals for typical imaging systems in the autonomous vehicle and machines field.

There are a number of excellent texts that deal with radiometry and photometry in detail, such as that by Boyd[1]. While the topic cannot be covered in great depth within this paper, a basic understanding of radiometry and photometry can be outlined in this primer with intentional simplified nomenclature and give readers tools with which to elucidate a first-order model of a source, target and camera for typical automotive systems. The model may be then used to estimate the number of quanta expected in pixels for various imaging systems for each of the patches of a MacBeth color checker and typical automotive lights under a wide variety of illumination conditions. These results form the basis to establish the fundamental limits of performance for passive camera systems based both solely on camera geometry and additionally considering typical quantum efficiencies and noise performance available presently.

The uncertainty surrounding radiometry and photometry can be summed up in one question which we will answer later in the paper. Figure 1(a), depicts a relatively straightforward scenario. Under clear weather and 10 lux ambient daylight, we are tasked with estimating the number of photoelectrons gathered in a 2.1 um pixel from a car that has $20 \%$ reflective paint (Patch 22 from a MacBeth Color Checker), 100 meters from the camera. The camera has an fl. 4 lens with perfect transmission. The sensor has perfect quantum efficiency between 400 and 700 nm and is exposed for 10 ms . Figure 1(b) depicts the same scenario expect that the car is at 200 m instead of 100 m . Without reaching for a calculator or a text book, the authors challenge the reader to estimate the correct number (or even magnitude) of photoelectrons gathered by the pixel for both scenarios. Do you feel at a lost to answer this? Or do you have an idea of how to go about it but feel an urge to reach for that calculator? By the end of the paper you will be able to estimate this without a calculator. Some basic knowledge of light, lenses and imaging sensors is assumed.

## Photometry and Radiometry

Photometry and radiometry both describe the measurement of the electromagnetic radiation. Radiometry may be applied to the entire electromagnetic spectrum regardless of whether it is seen or
not by the eye and encompasses wavelengths from far below $0.01 \mu \mathrm{~m}$ to in excess of $1000 \mu \mathrm{~m}$.


Figure 1(a) and (b). A 20\% reflective car is imaged in clear weather with an exposure time of 10 ms , in 10 lux ambient light with an $f 1.4$ lens. The lens has no transmission loss and the quantum efficiency of the sensor is perfect. How many photoelectrons are generated in a $2.1 \mu \mathrm{~m}$ pixel at 100 m and 200 m ?

Photometry examines light that is perceived by the human eyes. Therefore, measurements are restricted to those wavelengths that are visible for the average human eye, about $0.36 \mu \mathrm{~m}$ to $0.76 \mu \mathrm{~m}$ by scaling spectral measurements with curves that describe the relative response of the eye at each wavelength, the luminous efficacy or $\mathrm{V}(\lambda)$ curve, Figure 2 [2, p39]. As may be seen, under daylight or photopic conditions, $\mathrm{V}(\lambda)$ peaks at 555 nm . The peak shifts to 507 nm under scotopic or dark conditions due to adaption of the eye and the reliance on rods, rather than cones to perform imaging.


Figure 2. Spectral luminous efficiency functions under photopic (in black) and scotopic (in red) visions [2, p39].

Therefore, two parallel systems of quantities were developed for radiometry and photometry in the International Systems of Units (SI units). Radiometric measurements and units yielding results that are scaled in purely physical dimensions, such as Watts, and photometric measurements yielding units that also have physical meaning, but are scaled to account for the human eye, such as lumens. For every radiometric unit there is an equivalent photometric unit. Some of the more commonly used units are detailed below.

Due to the above, radiometry and photometry are generally applied in different applications. Radiometry is often used in areas where information concerning the absolute energy of the light is
required such as astronomy, solar energy, lasers, and optoelectronics etc. Also, for applications working with wavelengths beyond the visible range, such as night vision, body and eyeball tracking, or LiDARs operating with IR light sources. Photometry is applied in the areas where light perception is the main concern such as lighting, colorimetry, and display technology. It is especially important to note that wavelengths of light beyond the perception of the human eye can still cause great damage to it and therefore radiometric calculations are more appropriate for eye safety.

## Point Sources

Radiant flux ( $\Phi$ ), also referred as power, is radiant energy transferred per unit time. In Figure 3, radiant flux of the light source, the bulb, which we imagine to be a point source, is the total energy that is radiated from the bulb into all directions (the yellow halo surround) per second. The SI unit of radiant flux is the Watt which is equivalent to joules per second ( $\mathrm{J} / \mathrm{s}$ ).

A portion of the energy emitted by the bulb may be intercepted by the area A. As the bulb emits equally in all directions, if we can calculate the proportion of the area of the surface of the sphere upon which area A lies, we may calculate the radiant flux that it will receive. In a similar manner that an angle defines a section of a circle in two dimensions, a solid angle defines a section of a sphere and is given the unit steradians (sr). The solid angle, $\Omega$, subtended by an area, A is calculated using,

$$
\begin{equation*}
\Omega=\frac{A}{r^{2}} \tag{1}
\end{equation*}
$$

where $r$ is the radius of the circle. Similarly to a circle having 360 degrees, a sphere has a total of $4 \pi$ steradians. Given the distance between the bulb and the surface, the area of the surface and the radiant flux of the source, $\Phi_{\mathrm{B}}$, we can now calculate the energy, $\Phi_{\mathrm{A}}$, received by A,

$$
\begin{equation*}
\Phi_{A}=\frac{\Phi_{B} \Omega}{4 \pi} \tag{2}
\end{equation*}
$$



Figure 3. Schematics of optical radiation measurement quantities. The measurement plane (with area A) is normal to the bulb light source with subtended solid angle $\Omega_{A}$. The total radiant flux emitted by the bulb is $\Phi_{B}$. The radiant intensity, $I_{B}$, is therefore $\Phi_{B} / \Omega_{A}$ and the total flux received by $A$, $\Phi_{A}=I_{B} \times \Omega_{A}$. The irradiance of $A$ is therefore $E=\Phi_{A} / A$.

If we have the total radiant flux emitted by the source equally in all directions, $\Phi_{\mathrm{B}}$, and we divide it by the solid angle into which it radiates, a sphere or $4 \pi$ in the above case, we may calculate the radiant intensity (I) in units of Watts per steradian. Understanding the radiant intensity, I, that a source emits in a particular direction
and the solid angle, $\Omega$, that a surface subtends to the source allows us to calculate the total energy received by the surface if it is perpendicular to it. In our example above,

$$
\begin{equation*}
\Phi_{A}=\mathrm{I}_{B} \Omega \tag{3}
\end{equation*}
$$

where $I_{B}$ is the radiant intensity of the source. The area A has a finite area and receives total flux, $\Phi_{\mathrm{A}}$. We may calculate the Irradiance ( E ) as the radiant flux per unit area received by a surface orthogonal to the source. The irradiance at the measurement plane in Figure 3 is calculated as:

$$
\begin{equation*}
E=\frac{\Phi_{A}}{A} \tag{4}
\end{equation*}
$$

and has units Watt per square meter.

## Extended sources

Up to this point we have described a point source of light. In practice few sources of electromagnetic radiation are point sources and this should be accounted for in our measurements. Imagine that instead of a single point source we now have many point sources arranged next to each other all radiating in the same direction with the same radiant intensity, Figure 4. If the distance between the area A and the source is large enough that the solid angle between each of the point sources and the area is the same we could simple add up all of the point sources in a unit source area to yield the radiant flux falling on area A. Considering the extension of point sources in this manner we introduce term Radiance (L), or radiant flux per unit area per unit solid angle, with units of Watts per $\mathrm{m}^{2}$ per sr.

In the example below, if the source has a radiance, $\mathrm{Ls}_{\mathrm{s}}$, of 5 $\mathrm{Wm}^{-2} \mathrm{sr}^{-1}$ and an area, $\mathrm{As}_{\mathrm{s}}$ of $0.1 \mathrm{~m}^{2}$ and the target an area of $0.25 \mathrm{~m}^{2}$ and is 2 meters from the target, the radiant flux falling on the target is calculated as in the following manner. The solid angle of target is given by,

$$
\begin{equation*}
\Omega_{T}=\frac{0.25}{2^{2}}=\frac{0.25}{4}=\frac{1}{16} \mathrm{sr} . \tag{5}
\end{equation*}
$$

The total flux falling on Target A is therefore calculated as,

$$
\begin{equation*}
\Phi_{A}=L_{S} A_{S} \Omega_{T}=5 \times \frac{1}{10} \times \frac{1}{16}=\frac{1}{32} \text { Watts. } \tag{6}
\end{equation*}
$$

As the area of the target is $0.25 \mathrm{~m}^{2}$, the irradiance is:

$$
\begin{equation*}
E=\frac{\Phi_{A}}{A}=\frac{1 / 32}{1 / 4}=\frac{1}{8} \mathrm{Wm}^{-2} \tag{7}
\end{equation*}
$$



Figure 4. The extension of a single point source to many point sources illuminating a surface. Conceptually totaling the point source contributions per unit area of the source yields the radiance.

## Spectral measurements and photometry

Total power emitted or received by a source or a surface has been discussed in the previous measurements. We could conduct all of these measurements for individual wavelengths of the electromagnetic spectrum. The radiant flux would become a graph of the energy in Watts per unit wavelength versus wavelength emitted by the source. The radiant intensity would become Watts per steradian per unit wavelength versus wavelength. Doing this we usually adopt the naming convention of spectral intensity, or spectral irradiance.

Measuring these types of quantities for each wavelength allows the scaling of results by the response of the human visual system, as mentioned previously, Figure 2, and thus allows for the estimation of the effect of sources on the human eye. These scaled responses yield equivalent photometric units for each of the radiometric units, Table 1. Photometry is important as it allows for the calculation of the perceived effect of sources on the human visual system. A Watt of light at 555 nm at the peak sensitivity of the eye has a very different effect to that at 8 or $12 \mu \mathrm{~m}$ in the far infra-red portion of the spectrum that we cannot see. Formally, the conversion from radiant flux to luminous flux, $\Phi_{\mathrm{V}}$, is expressed as [1, p102]:

$$
\begin{equation*}
\Phi_{V}=K_{m} \int \Phi(\lambda) \cdot V(\lambda) d \lambda \tag{8}
\end{equation*}
$$

Where the subscript V generally denotes photometric quantities. $V(\lambda)$ is the spectral luminous efficiency function or the normalized spectral sensitivity of averaged human eye. The unit of $\Phi_{\mathrm{V}}$ is the lumen (lm) and $K_{m}$ is the maximum luminous efficacy. $K_{m}$ is $683 \mathrm{~lm} \mathrm{~W}^{-1}$ for photopic vision at 555 nm and $1700 \mathrm{~lm} \mathrm{~W}^{-1}$ for scotopic vision at 510 nm [2, p261]. Luminous intensity is the equivalent of radiant intensity and has the units of lumens per steradian, also known as candelas. Irradiance has the equivalent photometric equivalent of Illuminance and units of lumens per meter squared, also known as lux. Radiance is Luminance in its photometric form and has units of lumens per meter squared per steradian. Luminous intensity in candelas is usually used to define this and thus luminance usually takes the units of candelas per meter squared.

## Table 1. Photometry and radiometry quantities

| Quantity | Radiometry <br> (SI unit) | Photometry <br> (SI unit) |
| :--- | :--- | :--- |
| Power | Radiant flux <br> (Watt, W) | Luminous flux <br> (lumen, Im) |
| Power per <br> solid angle | $\left.\begin{array}{l}\text { Radiant intensity } \\ (\mathrm{W} \mathrm{sr} \\ \\ -1\end{array}\right)$ | Luminous intensity <br> (candela $=\mathrm{Im} \mathrm{sr}$ |
| Power per <br> unit area | Irradiance, radiant <br> exitance $\left(\mathrm{W} \mathrm{m}^{-2}\right)$ | Illuminance, luminous <br> exitance (lux $=\mathrm{Im} \mathrm{m}^{-2}$ ) |
| Power per <br> solid angle <br> per unit area | Radiance <br> $\left(\mathrm{W} \mathrm{m}^{-2} \mathrm{sr}^{-1}\right)$ | Luminance <br> $\left(\mathrm{cd} \mathrm{m}^{-2}\right)$ |

## Lambertian Surfaces

If reflected radiance is independent of viewing angle a surface is said to be Lambertian. That is, the Watts per steradian per square meter is approximately constant with respect to angle of viewing in radiometric units and likewise lumens per steradian per square meter in photometric units. This leads to the brightness of a Lambertian surface appearing approximately similar from all viewing angles. Matte white paper is a good approximation to a

Lambertian surface [1] as is the MacBeth Color Checker Classic chart. A simple relationship exists between the illuminance and luminance for Lambertian surfaces that make them particularly amenable to working with [3, p16]:

$$
\begin{equation*}
L_{V}=\frac{R E_{V}}{\pi}, \tag{9}
\end{equation*}
$$

where $R$ is reflectance, $E_{v}$, the illuminance and $L v$, the luminance. Alternatively, the luminance is simply the lux falling on the surface multiplied by the reflectance and divided by $\pi$. For example, to estimate the luminance in $\mathrm{cdm}^{-2}$ coming from the $8 \%$ patch (Patch 23) of the MacBeth Color Checker Classic, it is simply,

$$
\begin{equation*}
L_{V}=\frac{0.08 \times E_{V}}{\pi} . \tag{10}
\end{equation*}
$$

## The inverse square law

Irradiance (or illuminance) from a point source is inversely proportional to the square of the distance from the source. This is the inverse square law. The decrease of irradiance with distance as $1 / \mathrm{r}^{2}$ can be shown as below. Substituting equation 4 into equation 3 we find,

$$
\begin{equation*}
E=\frac{\mathrm{I}_{B} \Omega}{A} \tag{11}
\end{equation*}
$$

and then equation 1 into 11 ,

$$
\begin{equation*}
E=\frac{\mathrm{I}_{B}}{A} \cdot \frac{A}{r^{2}}=\frac{\mathrm{I}_{B}}{r^{2}} . \tag{12}
\end{equation*}
$$

The irradiance of a light falling onto a surface diminishes according to the square of the distance. It should also be noticed that they are no other terms in the denominator. Regardless of the radiant intensity or solid angle subtended by the source, it still obeys the inverse square law. Practically, high- or low-beam head lamps with narrow or wide beams will still diminish as the square of the distance.

## Image Luminance Constancy

The inverse square law is often confused with principles governing the formation of images and it is often thought that the image luminance of objects decreases with increasing distance between the camera and the object. In the absence of atmospheric effects this is not the case and it may be shown that image luminance remains constant with distance. Richardson details an approachable description of the mathematics [4]. Figure 5 illustrates a camera of focal length, f , imaging an object at distance r. The apparent area of the pixel, Ap, projected into object space may be calculated using similar triangles as [4]:

$$
\begin{equation*}
A_{P}=x^{\prime} \cdot y^{\prime}=\frac{x \cdot y \cdot r^{2}}{f^{2}} \tag{13}
\end{equation*}
$$

where x and y are the dimension of the pixel and $\mathrm{x}^{\prime}, \mathrm{y}^{\prime}$ are the projected dimensions. The solid angle of the lens, $\Omega_{\mathrm{L}}$, of diameter, d , is [4]

$$
\begin{equation*}
\Omega_{L}=\frac{\pi d^{2}}{4 r^{2}} . \tag{14}
\end{equation*}
$$

If the luminance of the source is Ls, and the size of the source extends beyond the area of the projected pixel, the luminance in the pixel, $L_{p}$, is given by:

$$
\begin{equation*}
L_{P}=L_{S} A_{P} \Omega_{L} t_{o} t_{a} \tag{15}
\end{equation*}
$$

where $t_{0}$ and $t_{a}$ are the transmission of the optics and atmosphere respectively. Substituting equations 13 and 14 into the above we find:

$$
\begin{equation*}
L_{P}=L_{S} \cdot \frac{x y r^{2}}{f^{2}} \cdot \frac{\pi d^{2}}{4 r^{2}} t_{o} t_{a} \tag{16}
\end{equation*}
$$

The $\mathrm{r}^{2}$ terms are cancelled and we note that $d^{2} / f^{2}$ is the reciprocal of f-number, $\mathrm{f} \#$, yielding:

$$
\begin{equation*}
L_{P}=\frac{L_{S} x y \pi t_{o} t_{a}}{4 f \#^{2}} . \tag{17}
\end{equation*}
$$

It may be seen in Equation 17 that there is no dependency on distance, r , aside from atmospheric attenuation, $\mathrm{t}_{\mathrm{a}}$. Thus, image luminance stays constant with distance in the absence of atmospheric effects. In practice, the amount of light imaged for the object does decrease with distance, but the size of the image of an object also decreases, keeping the image luminance constant with distance. It is worth emphasizing that this applies equally to selfluminous sources or reflected surfaces.

If for the question posed at the beginning of the paper you wrote different answers for 1(a) and 1(b) you may wish to reconsider.


Figure 5, A simple model of a lens and pixel imaging a surface. Based on [4].

## Illumination, Target, Lens, Sensor Model

The information given in the previous sections may be used to create an illumination - target - lens - sensor model that will give good first order approximations of the number of photoelectrons collected in pixel for given conditions from simple Lambertian reflectors orthogonal to the optical axis. The model is modified from that previously been detailed by Jenkin and Kane [5]. The desired ambient light level is first specified in lux, $E_{A M B}$, to scale a CIE D55 spectral curve, $W(\lambda)$, representing the illumination source [5]. The relative spectral luminous efficiency curve, $V(\lambda)$, of the CIE is scaled by the peak luminous efficacy of human vision (683 lumens per watt at 555 nm ) [2, p261], multiplied by the D55 curve above and integrated to yield the total lux, $W(\lambda)$ represented by the illumination curve generated:

$$
\begin{equation*}
E_{\text {SOURCE }}=683 \cdot \int_{\lambda_{M I N}}^{\lambda_{M A X}} W(\lambda) V(\lambda) d \lambda \tag{18}
\end{equation*}
$$

where $\lambda_{M A X}$ and $\lambda_{M I N}$ are the maximum and minimum wavelengths of interest. EAMb is divided by Esource to yield a multiplication factor, ESCALE, by which to multiply $W(\lambda)$ so that it is correctly scaled to the wattage required to yield the lux desired in the scene.

The spectral reflectance curve of the target surface $S(\lambda)$ is multiplied by the scaled illumination curve and divided by $\pi$ to give the spectral radiance of the surface in $\mathrm{Wm}^{-2} \mathrm{sr}^{-1} \mathrm{~nm}^{-1}$. Further multiplying by the absolute quantum efficiency curve of the sensor, $Q(\lambda)$, and absolute transmission of an infrared filter, $I(\lambda)$, yields the spectrum of light available to the sensor in $\mathrm{Wm}^{-2} \mathrm{sr}^{-1} \mathrm{~nm}^{-1}$ before lens and pixel geometry are considered, $P(\lambda)$, below.

$$
\begin{equation*}
P(\lambda)=\frac{E_{S C A L E}}{\pi} W(\lambda) \mathrm{S}(\lambda) I(\lambda) Q(\lambda) \tag{19}
\end{equation*}
$$

In this model CIE D55 is used as the illumination spectra, shown in Figure 6 [2, p271]. The Macbeth Color Checker Classic patches are used as target spectra, Figure 7 [6]. Quantum efficiency curves are created by first modelling a typically monochrome curve peaking at approximately $83 \%$ and then multiplying that with those representing transmissions for red, green, blue, yellow, magenta and cyan color filter arrays, Figure 8 [7].


Figure 6, Relative Spectral Power of CIE D55 illumination [2, p271].

This intentionally does not represent any single sensor available at present but is a good approximation of current performance and quantum efficiency curves representing actual sensors may easily be substituted if necessary. The solid angle, $\Omega_{L}$, of the lens collecting the signal reflected from the projected pixel area is calculated using equation 14. Multiplying by the solid angle and transmission of the lens, $t o$, yields the power per nm per square meter, $P_{s}$, captured by the sensor:

$$
\begin{equation*}
P_{s}(\lambda)=\frac{E_{S C A L E}}{\pi} W(\lambda) I(\lambda) Q(\lambda) \Omega_{L} t_{o} \tag{20}
\end{equation*}
$$

A factor for losses due to windshield transmission may also be included in $t o$. Multiplying by the area of the pixel, $A_{p}$, yields the power per nm per pixel, $\mathrm{P}_{\mathrm{p}}$.

$$
\begin{equation*}
P_{p}(\lambda)=\frac{E_{S C A L E}}{\pi} W(\lambda) I(\lambda) Q(\lambda) \Omega_{L} t_{o} \cdot A_{p} \tag{21}
\end{equation*}
$$

The energy per photon, $\varepsilon(\lambda)$, is calculated using:

$$
\begin{equation*}
\varepsilon(\lambda)=\frac{h c}{\lambda} \tag{22}
\end{equation*}
$$

where $h$ is Plank's constant, $6.62 \times 10-34 \mathrm{~m}^{2} \mathrm{~kg} \mathrm{~s}^{-1}$, and $c$ is the speed of light, $299792458 \mathrm{~ms}^{-1}$. Dividing $P_{p}(\lambda)$ by $\varepsilon(\lambda)$, multiplying by the integration time, $T_{I N T}$, and integrating yields the total number of photoelectrons captured by the pixel, $P E_{p}$ :

$$
\begin{equation*}
P E_{p}=\int_{\lambda_{\text {MIN }}}^{\lambda_{M A X}} \frac{T_{I N T} . P_{p}(\lambda)}{\varepsilon(\lambda)} d \lambda \tag{23}
\end{equation*}
$$

The above model represents a relatively simple single exposure regime. By repeating calculations with different exposure times or adding an attenuation term, it is relatively simple to extend the model to estimate photoelectrons collected for sequential or other high dynamic range exposure (HDR) schemes.

It should also be noted that the surface modeling here only accounts for diffusely lit Lambertian patches orthogonal to the optical axis of the camera. Specular and retroreflective materials with different lighting geometries will yield different results.



Figure 7, Spectral reflectance of the MacBeth Color Checker Classic patches [6].

## Imaging Performance for typical parameters

Using the above model, it is possible to estimate photoelectrons per lux-second at the sensor plane for D55 daylight and the MacBeth color chart for a variety of conditions, pixel sizes and CFA filters, Table 2. Calculated for an f 1.4 lens, the first row, "Geo", represents the photons available if only the geometry of the imaging is considered between 400 and 700 nm . The aperture f1.4 is chosen as it represents the leading edge of what is available in automotive manufacturing at present. No losses due to lens transmission, IRCF, windshield or quantum efficiency are added. This represents the maximum amount of light available to the
sensor for conversion into signal and gives a fundamental envelope of performance in this wavelength range.


Figure 8, Quantum efficiency curves of each of the color channels created using data for CFA filter materials [7]. Also shown is the IRCF transmission curve used.

If the data for Patch 22 is examined, we can see that approximately 1000 photons are generated per lux-second for a $2 \mu \mathrm{~m}$ pixel with D55 at f1.4. The reflectance of the patch is approximately $18.7 \%$ between 400 and 700 nm [6]. Using this as a starting point we can create a mental model to estimate the photons available to a sensor for other conditions. Rounding the reflectance of patch 22 to $20 \%$ we can state "Patch 22 (20\%) for $2 \mu m$ at $f \sqrt{ } 2$ gives I photon per lux per ms". This can then be modified to yield other results. A stop in any direction will double or halve the result. e.g f 2 will give 0.5 photons per lux per ms. Photons will be proportional to pixel area. Doubling the pixel size will yield four times the number of photons. For automotive purposes it is then possible to add in degradation to account for lens transmission ( 0.95 ), IRCF transmission ( 0.95 between 400 and 650 nm ), windshield losses (0.7) and the color filter array. For a monochrome array the available signal is approximately 0.4 x when the above losses and silicon sensitivity are factored in. For RGB CFAs this drops to between 0.1 x (Red) and 0.15 x (Green) and for CMY CFAs 0.2 x (Magenta) to 0.25 x (Yellow). Finally, for $2.1 \mu \mathrm{~m}$ pixels, as this is a common node, we can add $10 \%$.

While far from perfect, this approach gives engineers a starting point with which to estimate the order of magnitude of a signal available from a pixel. Using our car example, Figure 1(a) which calls for estimating the signal from 10lux daylight using an f1.4 lens and $2.1 \mu \mathrm{~m}$ pixel with a 10 ms exposure in clear conditions with no losses from a $20 \%$ reflective surface. We start with the mental model " $20 \% \mathrm{f} \sqrt{ } 2$ ( f 1.4 ) at with a $2 \mu \mathrm{~m}$ pixel gives 1 photon per ms". For 10 ms at 10 lux this would yield 100 photons. Add $10 \%$ to uprate to a $2.1 \mu \mathrm{~m}$ pixel modifies our estimate to 110 photons. If we wanted to estimate signal in the green channel after losses, we further multiply by $0.15=16$ photons. Performing the actual calculation with the model yields 119 and 17 photons. The estimate is well within an order of magnitude of the actual result.

Further examining Table 2, we observe that imaged photons are somewhere between $10 \%$ and $25 \%$ of the available photons once losses due to the lens, windshield, IRCF and quantum efficiency are accounted for. While losses in any one process may
appear manageable, it is worth remembering that they are multiplicative and quickly collapse the available signal envelope. It is also worth noting that the effective sensitivity of the system is a fraction of the 10 's of thousands of electrons per lux-second for sensitivity usually quoted by sensor manufacturers. This is because sensitivity measurements are often made by directly illuminating the sensor and do not account for lens geometries, surface reflectance or other system losses.

Signal variance due to the quantized nature of light, or shot noise as it is known, is equal to the number of quanta present. Therefore, using RMS fluctuations (the square root of the number of quanta) to calculate signal-to-noise ratio due to shot noise, we find it is directly proportional to the size of the pixel. Total SNR, however, includes a fixed noise component of a few electrons, consisting of a number of contributors, such a read noise, dark signal non-uniformity and dark current. As these components are signal independent and generally increase with temperature, they quickly dominate signal-to-noise performance at low light levels.

Table 3 shows photoelectrons for a D55 5lux 10 ms exposure with a f1.4 aperture. If we wish to achieve a linear SNR of 1 and the fixed noise component is a total of 3 electrons RMS at least 3.5 photoelectrons of signal are required. For a linear SNR of 4, in excess 22.4 photoelectrons are needed. Examining Table 3 we can see that at 5 lux, all but the brightest Macbeth patches at the $2.1 \mu \mathrm{~m}$ node with a CFA applied struggle to achieve the desired number of photoelectrons for an SNR of 4 . We conclude, that for the modelled system, below 5 lux we will rapidly approach the noise floor of the sensor and should expect the system performance to degrade significantly.

## Summary

A primer of basic radiometry and photometry was outlined and used to construct an elementary model of an illumination source, Lambertian surface, lens and sensor with the intention of giving engineers new to the field or those already working with cameras an introduction to the subject. The imaging model was used to illustrate how it is possible to establish fundamental performance limits for an imaging system. A mental model was also offered that can yield first order approximations of photoelectrons in pixel for typical quantum efficiencies and system losses available at present.

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## Author Biographies

Robin Jenkin received, BSc(Hons) Photographic and Electronic Imaging Science (1995) and his PhD (2001) in the field of image science from University of Westminster. He also holds a M.Res Computer Vision and Image Processing from University College London (1996). Robin is a Fellow of The Royal Photographic Society, UK, and a board member and VP Publications of IS\&T. Robin works at NVIDIA Corporation where he models image quality for autonomous vehicle applications. He is a Visiting Professor at University of Westminster within the Computer Vision and Imaging Technology Research Group.

Cheng Zhao received MSc(2007) and PhD(2013) in the field of Optoelectronics from University of Rochester. She works at NVIDIA Corporation on image quality modeling for autonomous vehicle applications, with the focus on the impacts of camera optical performance. Previously, she worked for OmniVision Technologies, Inc where she did optical modeling for CMOS image sensors.

Table 2. Photoelectrons per lux-second for a f1.4 lens imaging diffusely lit MacBeth Color Checker patches with CIE D55 illumination.

| Patch Number 1-Dark Skin |  |  |  |  |  | Patch Number 2-Light Skin |  |  |  |  |  | Patch Number 3 - Blue Sky |  |  |  |  |  | Patch Number 4 - Foliage |  |  |  |  |  | Patch Number 5-Blue Flower |  |  |  |  |  | Patch Number 6 - Bluish Green |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S2 | 1 | 2 | 2.1 | 2.25 | 3 | S2 | 1 | 2 | 2.1 | 2.25 | 3 | Sz | 1 | 2 | 2.1 | 2.25 |  | + 5 | 1 | 2 | 2.1 | 25 | 3 | ¢ Sz | 1 | 2 | 2.1 | 2.25 | 3 | X Sz | 1 | 2 | 2.1 | 5 | 3 |
| Geo | 164 | 658 | 725 | 833 | 1480 | Geo | 553 | 2213 | 2439 | 280 | 497 | eo | 263 | 105 | 116 | 13 | 236 | Geo | 147 | 586 | 646 | 742 | 1319 | Geo | 437 | 17 | 19 | 2214 | 393 | Geo | 484 | 1935 | 2134 | 2449 | 354 |
| Mono | 45 | 178 | 196 | 225 | 401 | Mono | 168 | 673 | 742 | 852 | 515 | Mono | 111 | 445 | 490 | 563 | 1001 | Mono | 51 | 204 | 225 | 258 | 459 | Mon | 148 | 593 | 654 | 751 | 133 | Mone | 201 | 805 | 888 | 1019 | 12 |
| Red | 19 | 75 | 83 | 95 | 169 | Red | 65 | 260 | 286 | 329 | 584 | Red | 19 | 77 | 85 | 97 | 173 | Red | 13 | 53 | 58 | 67 | 119 | Red | 32 | 130 | 143 | 165 | 292 | Red | 33 | 133 | 147 | 169 | 300 |
| Grn | 16 | 62 | 69 | 79 | 141 | Grn | 61 | 244 | 269 | 308 | 548 | Grn | 41 | 165 | 182 | 209 | 372 | Grn | 24 | 97 | 107 | 123 | 218 | Grn | 51 | 204 | 224 | 25 | 458 | Grm | 90 | 361 | 398 | 457 | 812 |
| Ble | 10 | 39 | 43 | 49 | 88 | Ble | 42 | 167 | 184 | 211 | 376 | Ble | 48 | 190 | 210 | 24 | 428 | Ble | 12 | 47 | 52 | 60 | 106 | Ble | 62 | 248 | 274 | 314 | 559 | Ble | 71 | 284 | 313 | 360 | 639 |
| Cyn | 20 | 79 | 87 | 100 | 178 | Cyn | 83 | 330 | 364 | 418 | 743 | Cyn | 78 | 312 | 344 | 395 | 702 | Cyn | 29 | 114 | 126 | 145 | 257 | Cyn | 99 | 397 | 438 | 503 | 894 | Cyn | 138 | 551 | 607 | 697 | 1239 |
| Mgn | 27 | 107 | 118 | 135 | 240 | Mgn | 99 | 396 | 436 | 501 | 890 | Mgn | 61 | 245 | 271 | 311 | 552 | Mgn | 23 | 93 | 102 | 117 | 209 | Mgn | 87 | 349 | 384 | 441 | 785 | Mgn | 95 | 381 | 420 | 482 | 857 |
| Ylw | 33 | 133 | 147 | 169 | 300 | Ylw | 122 | 486 | 536 | 616 | 109 | Ylw | 57 | 228 | 251 | 289 | 513 | Ylw | 38 | 153 | 169 | 194 | 344 | Ylw | 77 | 310 | 341 | 392 | 697 | Ylw | 124 | 495 | 546 | 627 | 1114 |
| Patch Number 7-Orange |  |  |  |  |  | Patch Number 8 - Purplish Blue |  |  |  |  |  | Patch Number 9-Moderate Red |  |  |  |  |  | Patch Number 10-Purple |  |  |  |  |  | Patch Number 11-Yellow Gree |  |  |  |  |  | Patch Number 12 - Orange Yellow |  |  |  |  |  |
| , Sz | 1 | 2 | 2.1 | 2.25 | 3 | Sz | 1 | 2 | 2.1 | 2.25 | 3 | P S | 1 | 2 | 2.1 | 2.25 | 3 | Px Sz | 1 | 2 | 2.1 | 2.25 | 3 | Sz | 1 | 2 | 2.1 | 2.25 | 3 | PSz | 1 | 2 | 2.1 | 2.25 | 3 |
| Geo | 451 | 1803 | 1988 | 2282 | 4056 | Geo | 219 | 877 | 967 | 1110 | 1974 | Geo | 411 | 1646 | 1814 | 2083 | 3703 | Geo | 163 | 653 | 720 | 826 | 1469 | Geo | 438 | 1752 | 1932 | 2218 | 3943 | Geo | 569 | 2277 | 2510 | 2882 | 5123 |
| Mono | 119 | 476 | 524 | 602 | 1070 | Mono | 90 | 361 | 398 | 457 | 812 | - | 104 | 414 | 457 | 524 | 932 | Mono | 45 | 182 | 200 | 230 | 409 | 通 | 156 | 626 | 690 | 792 | 1408 | Mono | 164 | 658 | 725 | 832 | 1479 |
| Red | 67 | 270 | 297 | 341 | 606 | Red | 13 | 51 | 56 | 64 | 114 | Red | 59 | 237 | 261 | 299 | 532 | Red | 12 | 50 | 55 | 63 | 111 | Red | 45 | 182 | 200 | 230 | 409 | Red | 79 | 314 | 347 | 398 | 707 |
| Grn | 39 | 158 | 17 | 20 | 355 | Grn | 29 | 114 | 126 | 144 | 257 | Grn | 27 | 10 | 117 | 134 | 239 | Grn | 13 | 52 | 57 | 65 | 116 | Grn | 78 | 313 | 345 | 397 | 705 | Grm | 64 | 257 | 283 | 325 | 577 |
| Ble | 12 | 47 | 52 | 60 | 106 | Ble | 47 | 189 | 209 | 239 | 426 | Ble | 21 | 83 | 91 | 105 | 186 | Ble | 19 | 77 | 85 | 97 | 17 | Ble | 27 | 106 | 117 | 134 | 239 | Ble | 18 | 74 | 82 | 94 | 166 |
| Cyn | 34 | 135 | 148 | 170 | 303 | Cyn | 69 | 276 | 304 | 349 | 621 | Cyn | 36 | 145 | 160 | 184 | 326 | Cyn | 28 | 114 | 125 | 144 | 256 | Cyn | 80 | 32 | 353 | 40 | 72 | Cyn | 57 | 227 | 25 | 288 | 512 |
| Mgn | 74 | 298 | 328 | 377 | 670 | Mgn | 55 | 219 | 241 | 277 | 493 | Mgn | 75 | 300 | 331 | 38 | 675 | Mgn | 30 | 119 | 132 | 15 | 269 | Mgn | 67 | 268 | 295 | 339 | 603 | Mgn | 91 | 365 | 402 | 462 | 821 |
| Ylw | 104 | 418 | 460 | 529 | 940 | Yiw | 36 | 143 | 157 | 80 | 321 | Ylw | 79 | 317 | 350 | 402 | 714 | Ylw | 23 | 92 | 101 | 116 | 206 | Ylw | 129 | 516 | 569 | 654 | 1162 | Ylw | 143 | 572 | 630 | 724 | 1287 |
| Patch Number 13 - Blue |  |  |  |  |  | Patch Number 14-Green |  |  |  |  |  | Patch Number 15 - Red |  |  |  |  |  | Patch Number 16 - Yellow |  |  |  |  |  | Patch Number 17 - Magenta |  |  |  |  |  | Patch Number 18 - Cyan |  |  |  |  |  |
| ¢ S2 | 1 | 2 | 2.1 | 2.25 | 3 | PX Sz | 1 | 2 | 2.1 | 2.25 | 3 | Px Sz | 1 | 2 | 2.1 | 2.25 | 3 | Px Sz | 1 | 2 | 2.1 | 2.25 | 3 | PXS2 | 1 | 2 | 2.1 | 2.25 | 3 | P Sz | 1 | 2 | 2.1 | 2.25 | 3 |
| Geo | 134 | 537 | 592 | 679 | 1207 | Geo | 202 | 807 | 890 | 1021 | 1816 | Geo | 361 | 1446 | 15 | 1830 | 3253 | Geo | 719 | 2875 | 31 | 3638 | 646 | Geo | 504 | 2016 | 222 | 255 | 453 | Geo | 26 | 106 | 117 | 135 | 2401 |
| Mono | 60 | 240 | 265 | 304 | 540 | Mone | 85 | 341 | 376 | 431 | 766 | Mon | 68 | 270 | 298 | 342 | 608 | Mon | 221 | 883 | 974 | 11 | 198 | Mone | 127 | 508 | 560 | 643 | 11 | Mone | 12 | 485 | 535 | 614 | 1091 |
| Red | 6 | 25 | 27 | 31 | 55 | Red | 15 | 59 | 65 | 75 | 133 | Red | 48 | 191 | 210 | 241 | 429 | Red | 93 | 372 | 410 | 470 | 836 | Red | 56 | 224 | 247 | 284 | 505 | Red | 12 | 48 | 53 | 60 | 107 |
| Grn | 17 | 68 | 75 | 87 | 154 | Grn | 47 | 186 | 205 | 236 | 419 | Grn | 14 | 55 | 61 | 70 | 124 | Grn | 96 | 384 | 423 | 486 | 863 | Grn | 31 | 126 | 139 | 159 | 28 | Grn | 49 | 196 | 216 | 248 | 441 |
| Ble | 36 | 144 | 159 | 182 | 324 | Ble | 20 | 78 | 86 | 99 | 176 | Ble | 9 | 35 | 39 | 44 | 79 | Ble | 27 | 108 | 119 | 13 | 243 | Ble | 41 | 162 | 179 | 206 | 365 | Ble | 58 | 23 | 254 | 291 | 518 |
| Cyn | 49 | 19 | 217 | 249 | 442 | Cyn | 53 | 211 | 233 | 267 | 475 | Cyn | 16 | 64 | 71 | 81 | 144 | Cyn | 89 | 35 | 391 | 448 | 797 | Cyn | 61 | 245 | 270 | 310 | 551 | Cyn | 96 | 384 | 42 | 487 | 865 |
| Mgn | 38 | 152 | 168 | 192 | 342 | Mgn | 32 | 127 | 140 | 161 | 285 | Mgn | 54 | 21 | 239 | 275 | 488 | Mgn | 112 | 450 | 496 | 56 | 1012 | Mgn | 91 | 366 | 403 | 463 | 823 | Mgn | 62 | 250 | 276 | 316 | 562 |
| Ylw | 18 | 73 | 80 | 92 | 164 | Ylw | 65 | 260 | 287 | 329 | 586 | Ylw | 57 | 230 | 253 | 291 | 517 | Ylw | 192 | 769 | 848 | 974 | 173 | Ylw | 80 | 319 | 351 | 403 | 717 | Ylw | 58 | 231 | 254 | 292 | 519 |
| Patch Number 19-White (0.05D) |  |  |  |  |  | Patch Number 20 - Neutral (0.23D) |  |  |  |  |  | Patch Number 21- Neutral (0.44D) |  |  |  |  |  | Patch Number 22 - Neutral (0.700) |  |  |  |  |  | Patch Number 23 - Neutral (1.05D) |  |  |  |  |  | Patch Number 24-Black (1.50) |  |  |  |  |  |
| Px Sz | 1 | 2 | 2.1 | 2.25 | 3 | PX S2 | 1 | 2 | 2.1 | 2.25 | 3 | Px Sz | 1 | 2 | 2.1 | 2.25 | 3 | Px Sz | 1 | 2 | 2.1 | 2.25 | 3 | PX Sz | 1 | 2 | 1 | 2.25 | 3 | ¢ Sz | 1 | 2 | 2.1 | 2.25 | 3 |
| Geo | 1181 | 4726 | 5210 | 5981 | 10633 | Geo | 778 | 3114 | 3433 | 3941 | 7006 | Geo | 477 | 1909 | 2104 | 2416 | 4294 | Geo | 271 | 1082 | 1193 | 1370 | 2435 | Geo | 123 | 493 | 544 | 62 | 1109 | Geo | 45 | 181 | 200 | 229 | 407 |
| Mono | 434 | 1735 | 1913 | 2196 | 3903 | Mono | 287 | 1148 | 1266 | 1454 | 2584 | Mono | 177 | 706 | 779 | 894 | 1589 | Mono | 100 | 401 | 442 | 507 | 902 | Mono | 46 | 183 | 202 | 232 | 41 | Mono | 17 | 67 | 74 | 85 | 151 |
| Red | 115 | 458 | 505 | 580 | 1031 | Red | 76 | 302 | 333 | 382 | 680 | Red | 46 | 185 | 204 | 234 | 416 | Red | 26 | 105 | 116 | 133 | 236 | Red | 12 | 47 | 52 | 60 | 106 | Red | 4 | 17 | 19 | 22 | 39 |
| Grn | 169 | 678 | 747 | 858 | 1525 | Grn | 112 | 446 | 492 | 565 | 1004 | Grn | 69 | 274 | 302 | 347 | 617 | Grn | 39 | 155 | 171 | 197 | 350 | Grn | 18 | 71 | 78 | 90 | 160 | Grn | 6 | 26 | 29 | 33 | 58 |
| Ble | 140 | 558 | 616 | 707 | 1256 | Ble | 93 | 373 | 411 | 472 | 838 | Ble | 58 | 230 | 254 | 291 | 518 | Ble | 33 | 131 | 144 | 165 | 294 | Ble | 15 | 60 | 67 | 76 | 136 | Ble | 6 | 22 | 25 | 28 | 50 |
| Cyn | 258 | 1034 | 1140 | 1308 | 2326 | Cyn | 171 | 685 | 756 | 867 | 1542 | Cyn | 106 | 422 | 466 | 534 | 950 | Cyn | 60 | 240 | 264 | 303 | 539 | Cyn | 28 | 110 | 122 | 140 | 248 | Cyn | 10 | 41 | 45 | 51 | 92 |
| Mgn | 234 | 938 | 1034 | 1187 | 2110 | Mgn | 156 | 623 | 687 | 789 | 1402 | Mgn | 96 | 383 | 423 | 485 | 863 | Mgn | 54 | 218 | 240 | 276 | 490 | Mgn | 25 | 100 | 110 | 126 | 224 | Mgn | 9 | 37 | 40 | 46 | 82 |
| Ylw | 277 | 1107 | 1221 | 1401 | 2491 | Ylw | 182 | 28 | 803 | 922 | 1639 | Ylw | 112 | 446 | 492 | 565 | 1004 | Ylw | 63 | 253 | 279 | 320 | 570 | Ylw | 29 | 115 | 127 | 146 | 259 | Ylw | 10 | 42 | 46 | 53 | 94 |

Table 3. Photoelectrons per lux-second for a f1.4 lens imaging diffusely lit MacBeth Color Checker patches with CIE D55 illumination at 5 lux for an exposure time of 10 ms .


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