

# SINGLE SHOT APPEARANCE MODEL (SSAM) FOR MULTI-TARGET TRACKING

Mohib Ullah<sup>1</sup> Habib Ullah<sup>2</sup> Faouzi Alaya Cheikh<sup>1</sup>

<sup>1</sup> Department of Computer Science (IDI), Norwegian University of Science and Technology, Norway.

<sup>2</sup> College of Computer Science and Engineering, University of Ha'il, Saudi Arabia.

## ABSTRACT

An appearance model plays a crucial role in multi-target tracking. In traditional approaches, the two steps of appearance modeling i.e. visual representation and statistically similarity measure are modeled separately. Visual representation is achieved either through hand-crafted features or deep features and statically similarity is measure through a cross entropy loss function. A loss function based on cross-entropy (KL-divergence, mutual information) find closely related probability distribution for the targets. However, if the targets have similar visual representation, it ends up mixing the targets. To tackle this problem, we come up with a synergetic appearance model named Single Shot Appearance Model (SSAM) based on Siamese neural network. The network is trained with a contrastive loss function for finding the similarity between different targets in a single shot. The input to the network is two target patches and based on their similarity, a contrastive score is output by the network. The proposed model is evaluated on accumulative dissimilarity metric on three datasets. Quantitatively, promising results are achieved against three baseline methods.

**Index Terms**— Siamese neural network, appearance model, contrastive loss, cross entropy.

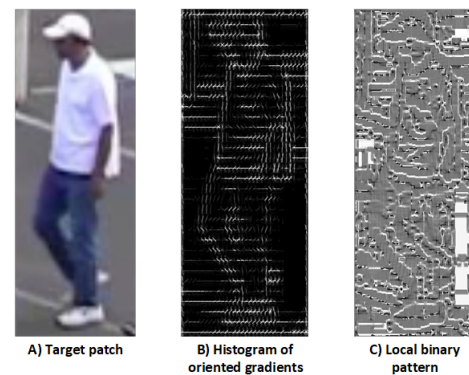
## 1. INTRODUCTION

One of the primary tasks of computer vision is to empower the computers with a vision system similar or even more sophisticated than the humans. Such a capability allow the computers to analyses a visual scene for a variety of tasks including but not limited to object detection [1], tracking [2], anomaly detection [3], segmentation [4, 5], video summarization [6], and crowd analysis [7, 8]. Designing a robust appearance model plays a crucial rule in aforementioned applications, especially multi-target tracking. For example, the well adopted strategy for multi-target tracking is tracking-by-detection where the tracking is divided into two discrete steps i.e. detection and association. Usually, target detection is achieved through a discriminative [9] or a generative model [10]. While the association is a combinatorial optimization problem and is usually handled globally [2, 11] or locally [12] depending upon the underlying application constraints. The appearance model

is mainly used in association to differentiate between the targets. In nutshell, target appearance model consist of two main steps:

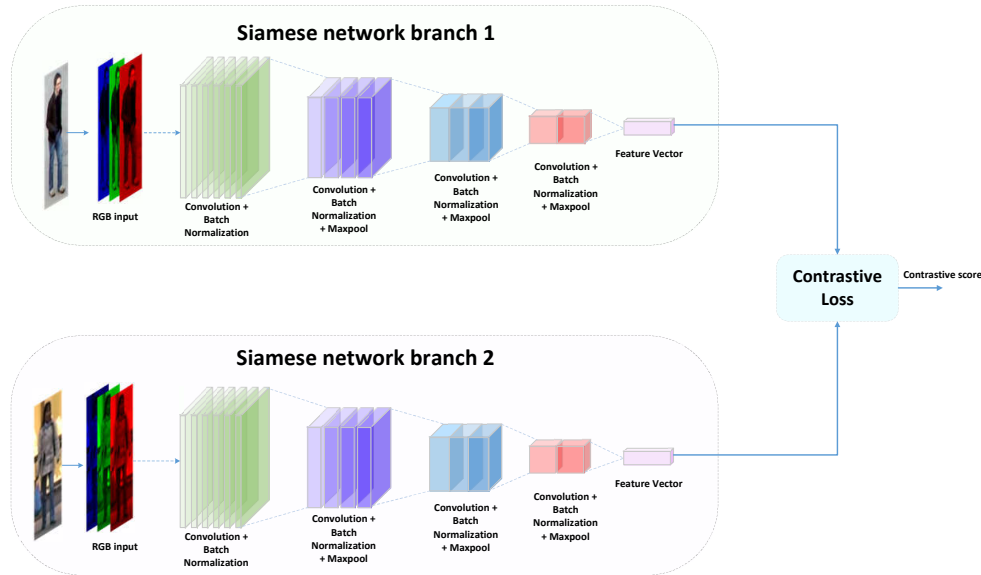
- Visual representation
- Similarity metric

Traditionally, hand-engineered features are used for the visual representation of the targets. In a nutshell, they are classified into two broad categories i.e. the local key-point features and the global or region features. The local feature extracts only sparse features from an image or image patch [13–15]. However, global features [16–18] model the whole image patch as a representative descriptor of an image patch. In fig. 1, the visual representation of a target patch through two feature descriptor is given.



**Fig. 1:** Visual representation of feature descriptors. A) shows the RGB input of a target patch. B). HOG [16] descriptor. C). LBP [18] descriptor

Based on the level of abstraction, global features can further be classified into zero-order, first-order, and up-to-second [19]. For example, color histogram [20] and raw pixel template [21] are considered to be zero-order descriptor. Level set formulation [22] and gradient descriptor [16] are treated as the first order while region covariance matrix [23] is associated with the second order descriptor. More recently [12], deep features are explored for the visual representation of the targets. Either deep features or the hand-engineered features, the aim is to represent the target in the feature space in such



**Fig. 2:** A pair of targets patch is inserted in each network. The network extracts discriminative features and gives it to the contrastive loss module. The module calculates the contrast between the two patches and output a dissimilarity score.

a way that it could easily be differentiated from others. In the second step of the appearance model, the similarity between two target patches is calculated through a pre-define metric. The usual adopted metrics are Kullback Leibler divergence [24], Jensen Shannon divergence [25], normalized cross correlation [26], Bhattacharya distance [27], mutual information [28], to name of few. In nutshell, the main characteristic of the existing methods is it's two-step procedure i.e. they perform the visual representation and the statistical similarity analysis independently. The focus of this paper is to introduce an appearance model where the visual representation and the similarity measure are performed in a single synergetic framework which we refer as single shot appearance model. The rest of the paper is organized in the following order. The overview of the proposed method is given in section 2. Network architecture, the contrastive loss function is briefly explained in section 3. Experiments are conducted in section 5 and section 6 concludes the paper.

## 2. PROPOSED APPROACH

The block diagram of the proposed model is given in Figure 2. Essentially, the network consists of a Siamese network with a contrastive loss function. Siamese neural network is a special kind of neural network that consists of two parallel Convolutional Neural Networks (CNN). The architecture of both the networks are similar and they share common weight. Essentially, one network is the mirrored version of the other. Each network is taking an image patch corresponding to the target of interest. The target patches are generated by the target detector or manually extracted from the image. The net-

works extract the discriminative feature through it's linear and non-linear layers (convolution, activation, pooling) from the patches and used as the visual representation of the target. Both the visual representation is given to a contrastive loss function module which outputs the similarity score between the two patches. Compared to the classical CNN, where the network learned to classify the inputs into different categories, the Siamese network gives the dissimilarity score between the corresponding inputs. In the next section 3, a brief description of the architecture and loss function is given.

## 3. NETWORK ARCHITECTURE

Classically, a CNN consists of convolution, activation, and pooling layers. In any architecture [29–31], these layers are organized in a special order. The depth of the network corresponds to the number of layers in the network. The general rule of thumb is, the deeper the network, the better is feature representation. In fig.2, the generic structure of the CNN is given. Technically, any architecture of CNN can be used as the Siamese network branch i.e. as a building block of the overall Siamese neural network. However, a well established approach in the research community is to use an already trained CNN network. One of the primary reasons for this is, designing a network from scratch is easy but training is very expensive in terms of computation. Moreover, intrinsically, CNNs are very data hungry. Usually, a pre-trained network gives a good performance because it has been trained on millions of images of Imagenet dataset [32]. In our work, we used state-of-the-art inception model of CNN [31] as the branches of our Siamese neural network. Originally, incep-

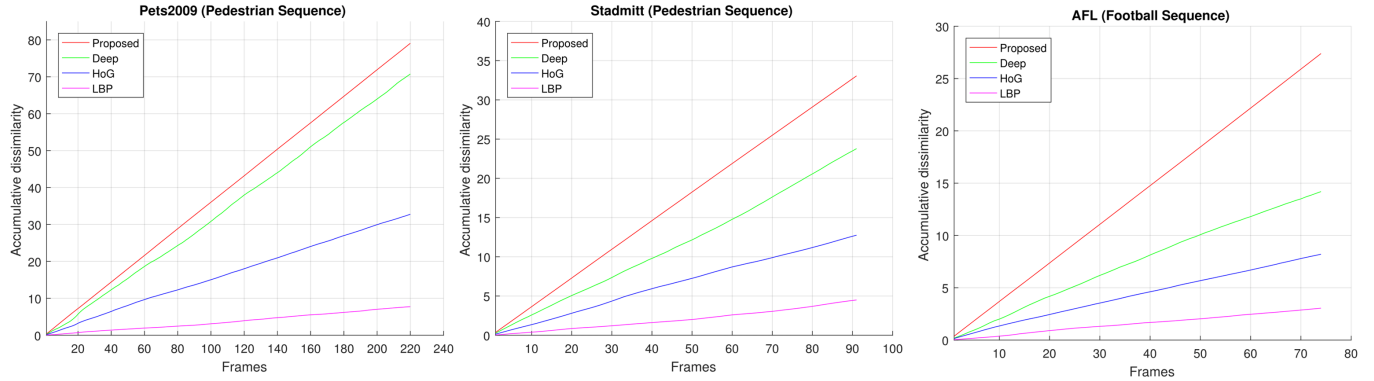


Fig. 3: Quantitative results on three datasets

tion model [31] is trained for the classification task of 1000 classes. However, we are interested only in the feature extraction capability of the network. So, we truncated the work and removed the fully connected layers responsible for classification and inserted our contrastive lost module. The contrastive loss module compare the visual representation of both the target patches and gives a contrastive score which shows the disparity in the distribution of both target patches. The brief overview of the contrastive lost module is given in the following:

### 3.1. Contrastive Loss Function

The aim of the loss function is to train and evaluate the network based on the given criteria. For classification, the loss function is defined in such a way to classify an image or image patch into a pre-define class categories. However, the aim of our Siamese network is to differentiate between two target patches rather than classifying them into different categories. Therefore, a classification loss function based on cross-entropy [24] is not suitable as we are not interested in the class probability but rather want to get a dissimilarity score based on the visual representation of the target patches. Therefore, a contrastive loss function is the most suitable for our task. Theoretically, the contrastive loss function assesses how the network is differentiating the given target patches.

Mathematically, the contrastive loss function could be written as:

$$L(D_w, I, m) = (1 - I) \frac{1}{2} (D_w)^2 + I \frac{1}{2} \{ \max(0, m - D_w) \}^2 \quad (1)$$

where  $I$  is an indicator variable and set to zero if the inputs are the same (similar targets) and 1 otherwise.  $m$  is an empirical parameter and can be seen as a non-negative margin value. It penalizes the fact that if input pairs are very different from the margin, it will not contribute to the overall loss. It is plausible as our goal is to penalized the pairs that are different but

the network see them similar. Similarly,  $D_w$  is the Euclidean distance between the visual representation of the two target patches.

$$D_w = \sqrt{\{F_{T1} - F_{T2}\}^2} \quad (2)$$

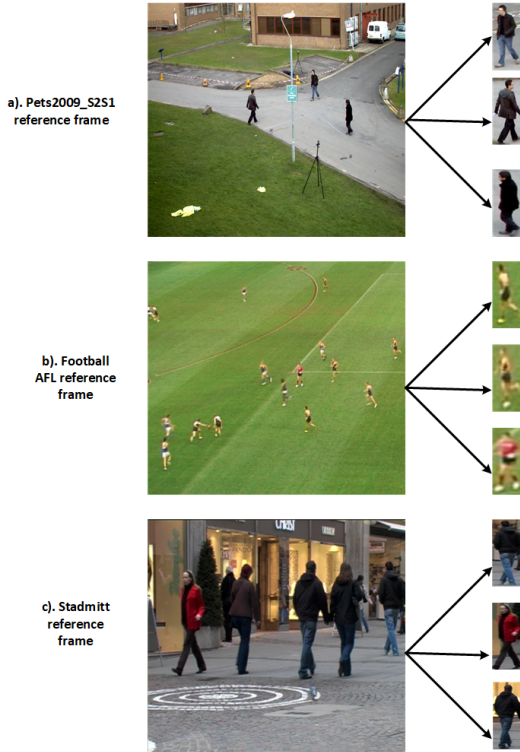
In equation 2,  $F_{T1}$  and  $F_{T2}$  are the visual representation of target  $T_1$  and  $T_2$ .

## 4. APPLICATION IN TRACKING

One of the important components of multi-target tracking is the association model which establish correspondence between the targets in the consecutive frame. Target association is difficult as the appearance of targets changes temporally. In case of human, it's even more tricky because human body goes under pose changes and articulation. However, the changes in the appearance is temporally continuous and gradual. Therefore, in tracking, it is usually assumed that the appearance remains approximately the same in two consecutive frames. Based on this assumption, ideally a target that exist in two consecutive frames at  $t - 1$  and  $t$  should have a high similarity score compared to the other targets. Consequently, an appearance model that gives optimal scores (high for similar, low for different) for the target patches would yield a high quality tracking performance. In order to validate our claim, we compared the similarity score of our SSAM against three baseline methods that incorporate HoG [16], LBP [18] and deep features [31] with Jensen Shannon divergence [25]. The application of SSAM is not limited to tracking but potentially could be used for any visual recognition task like image indexing and retrieval.

## 5. EXPERIMENT

The proposed network is implemented in Matlab with Matconvnet toolbox on a core i7 system with 8 GB RAM. To evaluate the network, we have chosen three datasets [33–35]



**Fig. 4:** Reference frames from the three datasets [33–35] and the corresponding target patches

that are commonly used for pedestrian tracking. The sample frames and corresponding target patches are given in Fig. 4. From each datasets, target patches can be generated by a target detector. However, we have manually annotated the targets patches to effectively evaluate our network and reduce the effect of false positive/negative. Moreover, we used Jensen Shannon divergence [25] as the baseline similarity metric and evaluated two well-known hand-crafted ([16], [18]) and one deep features [31]. The proposed network used similar deep features [31] but with a contrastive loss function. For each dataset, first a reference target is selected and it’s contrastive score is calculated against all the targets. The same procedure is followed for each target in every frames. Based on the number of frames used in the simulations, the average score is calculated by normalizing it with the number of frames in the dataset. The number of targets in each dataset is also different. But we have considered only those frames where the number of target stays constant for the effective evaluation of the methods. The quantitative results show the propose network achieved a better contrastive score compared to the baseline method with a good margin.

## 6. CONCLUSION

We proposed a single shot appearance model (SSAM) for multi-target tracking. SSAM is based on a Siamese neural

Datasets	Frame No.	LBP	HoG	Deep	Proposed
Pets2009	220	0.035	0.148	0.321	0.359
AFL	74	0.04	0.110	0.191	0.370
Stadmitt	91	0.049	0.140	0.261	0.363

**Table 1:** Normalized average accumulative contrastive score on 3 dataset. The proposed approach gives the best score. The second best score is given by a deep feature based method. HoG [16] and LBP [14] based method gives third and forth best results, respectively.

network with a contrastive loss function. The input to the network is a pair of patches corresponding to targets of interest. The network output a dissimilarity score in a single shot. The quantitative results show that the proposed network gives better visual representation and a better disparity in the feature space between different targets. SSAM has potential applications in multi-target tracking, image indexing retrieval. In future, we will incorporate SSAM in the multi-target tracking framework.

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